

Birational Geometry of Moduli Spaces

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Part I

Moduli Spaces

Classification Problem

Problem

Find all possible mathematical objects with given conditions or axioms.

- Smooth plane conics: circle, ellipse, parabola, and hyperbola
- Finite dimensional vector spaces
- Finite cyclic groups
- Finite simple groups
- Poincaré conjecture (Perelman's theorem, \$1,000,000!): a consequence of the classification of three dimensional compact manifolds

Question

Describe all circles on the plane.

We need to know the center (x_0, y_0) and the radius r .

$$(X - x_0)^2 + (Y - y_0)^2 = r^2$$

The space of circles:

$$M_C = \{(x_0, y_0, r) \in \mathbb{R}^3 \mid r > 0\} = \mathbb{R} \times \mathbb{R} \times \mathbb{R}^+ \subset \mathbb{R}^3$$

M_C : the **moduli space** of circles.

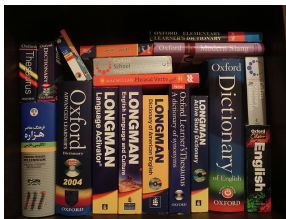
- Infinitely many objects, finite parameters.
- M_C is a topological space.

Moduli Spaces

Definition

A **moduli space** is a space parametrizing a certain kind of geometric object.

We may think a moduli space as a **dictionary** of geometric objects.



Moduli Space of Triangles

A triangle on the plane can be described by three vertices:

$$v_1 = (x_1, y_1), \quad v_2 = (x_2, y_2), \quad v_3 = (x_3, y_3).$$

To get a triangle, three vertices v_1, v_2, v_3 must not be collinear.

$$C = \{(v_1, v_2, v_3) \in \mathbb{R}^6 \mid v_1, v_2, v_3 \text{ are collinear}\}$$

Then the moduli space M_T of triangles seems to be

$$M_T = \mathbb{R}^6 - C,$$

but...

Moduli Space of Triangles

But three points v_2, v_3, v_1 define the same triangle.

More generally, a permutation of v_1, v_2, v_3 defines the same triangle.

There is an S_3 -group action on $\mathbb{R}^6 - C$ and

$$M_T = (\mathbb{R}^6 - C)/S_3,$$

the **quotient space** (or orbit space).

Many moduli spaces are constructed in this way.

Lesson

Group action is a very important tool in the moduli theory.

Moduli Space of Triangles

M_T is not **compact**, because

- 1 Sometimes $\lim_{t \rightarrow 0} (v_1(t), v_2(t), v_3(t))$ is a triple of collinear points.
- 2 Sometimes $\lim_{t \rightarrow 0} (v_1(t), v_2(t), v_3(t))$ diverges.

We can remedy these problems by

- 1 allowing degenerated triangles,
- 2 considering triangles in a projective space \mathbb{P}^2 instead of \mathbb{R}^2 .

Lesson

A compactification of a moduli space may be a **moduli space of given objects and their degenerations**.

Moduli Spaces in Algebraic Geometry

Algebraic geometry is a study of geometric figures (algebraic varieties) which are zero sets of polynomials.

It is a nice field for moduli theory: many moduli spaces are **finite dimensional** algebraic varieties.

Three typical examples in algebraic geometry

- Abstract varieties: moduli space M_g of genus g Riemann surfaces (= complex curves)
- Subvarieties: moduli space $\text{Hilb}(X)$ of subvarieties of a fixed variety X .
- Geometric structures: moduli space $M_X(r)$ of rank r vector bundles on a fixed variety X .

Why do we study Moduli Spaces? - 1

Moduli spaces are **explicit** examples of higher dimensional varieties.

An algebraic variety in \mathbb{C}^{1000} defined by 10,000 equations \dots What is its dimension? Is it smooth? connected? nonempty?

For many moduli spaces,

- 1 local geometry (dimension, smoothness) \leftrightarrow homological algebra
- 2 global geometry (topological invariants) can be studied without defining equations.



Laboratory mouse for algebraic geometers:

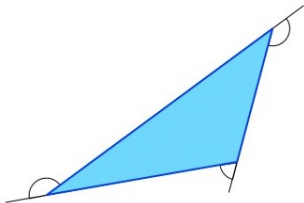
- Toric varieties (varieties which can be studied combinatorially)
- Moduli spaces

Why do we study Moduli Spaces? - 2

To study geometry of parametrized objects.

Question

Show that the sum of angle defects of a triangle is always 360° .

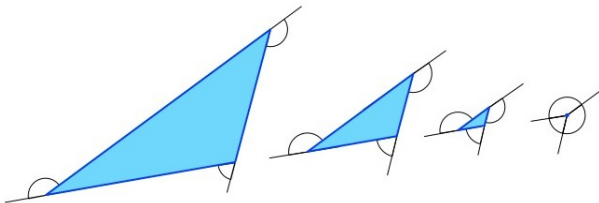


Why do we study Moduli Spaces? - 2

To study geometry of parametrized objects.

Question

Show that the sum of angle defects of a triangle is always 360° .



- When we deform our triangle to smaller **similar** triangles, the sum of angle defects is a constant.
- For the degenerated triangle (a point), the sum is 360° .

Why do we study Moduli Spaces? - 3

Many mathematical problems can be solved by studying geometry of moduli spaces.

Problem

How many lines in a three dimensional vector space intersect given 4 general lines?

- ① 0
- ② 1
- ③ ∞
- ④ *none of them*

Why do we study Moduli Spaces? - 3

Solution:

M_L : moduli space of lines in a three dimensional space

l_1, l_2, l_3, l_4 : four given lines

$$S_i := \{\ell \in M_L \mid \ell \cap l_i \neq \emptyset\}$$

Want: $|S_1 \cap S_2 \cap S_3 \cap S_4|$

By studying the geometry of M_L (via its cohomology ring), we obtain that the answer is 2.

Why do we study Moduli Spaces? - 3

Solution:

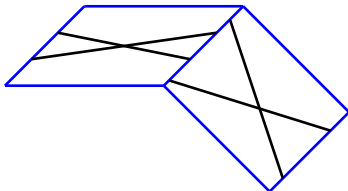
M_L : moduli space of lines in a three dimensional space

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Why do we study Moduli Spaces? - 3

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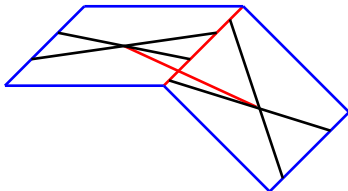
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Why do we study Moduli Spaces? - 3

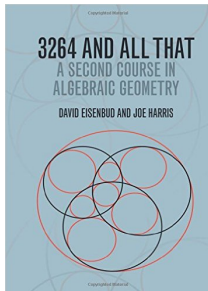
Problem (Steiner's problem 1848)

Find the number of conics which are tangent to given 5 general lines.

Incorrect answer of Steiner: $6^5 = 7776$

Correct answer (Chasles 1868) : 3264

Rigorous proof: (Fulton-MacPherson 1978)



Why do we study Moduli Spaces? - 4

They are essential in modern mathematical physics.

String theory:

- Our universe is 10 dimensional \cdots 4 dimensional space-time + 6 dimensional hidden space (Calabi-Yau threefold)
- The fundamental objects consisting our universe are not particles, but *strings*.
- Important to understand the evolution of strings \approx surfaces \approx complex curves in CY threefolds.



Why do we study Moduli Spaces? - 4

A **quintic threefold** is a zero locus of a degree 5 homogeneous polynomial in $\mathbb{C}P^4$. It is a CY threefold.

Conjecture (Clemens (1984))

Let X be a general quintic threefold. For each $d \in \mathbb{N}$, there exist only finitely many genus 0 curves of degree d on X .

Proved for $d \leq 11$.

| degree | number of curves |
|--------|-----------------------------|
| 1 | 2,875 |
| 2 | 609,250 |
| 3 | 317,206,375 |
| 4 | 242,467,530,000 |
| 5 | 22,930,588,887,625 |
| 6 | 248,249,742,118,022,000 |
| 7 | 295,091,050,570,845,659,250 |

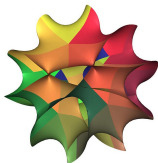
Main Question

Question

Study the shape of moduli spaces.

My research program

- 1 Study **birational geometry** of moduli spaces.
- 2 Apply birational geometry to the computation of topological **invariants** of various **concrete moduli spaces**.
- 3 Apply these results for the study of **quantum invariants**.



The art of doing mathematics consists in finding that special case which contains all the germs of generality. - D. Hilbert

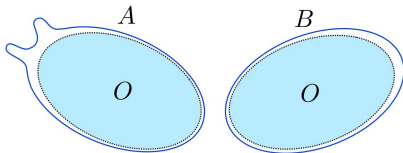
Part II

Birational Geometry of Moduli Spaces

Birational Geometry

Definition

Two algebraic varieties A and B are **birational** if they share a common open dense subset O . Then B is called a **birational model** of A .

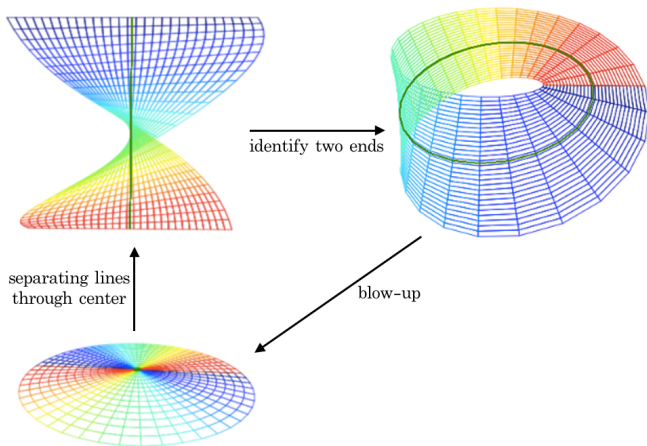


A surjective continuous map $f : A \rightarrow B$ is called a **birational map** if $f|_O = \text{id}_O$.

- Geometrically, f is an algebro-geometric surgery of B .
- In this situation, B is simpler than A .

Birational Geometry - Example

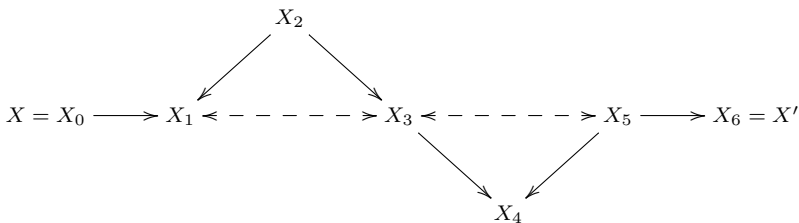
Below is an example of an explicit algebro-geometric surgery, the so-called **blow-up**.



Thus the circular disk is a birational model of the Möbius strip.

Application to Topological Invariants - Divide and Conquer

- 1 By applying algebro-geometric surgeries several times, we may construct a sequence of birational maps.



X_i 's are **birational models** of X .

- 2 It is relatively easy to measure the difference of topological invariants between X_i and X_{i+1} .
- 3 If it is easy to compute topological invariants of X' , we can compute these of X .
- 4 In many cases, X' is a quotient space of some elementary variety.
- 5 Apply this strategy to moduli spaces!

Birational Geometry of Moduli Spaces

In many cases, a birational model of a moduli space is again a **moduli space of a different class of objects**.

Several ways to understand a curve $C \subset \mathbb{P}^2$:

- 1 High school students: C is the **solution set** $\cdots x^2 + y^2 - 1 = 0$
- 2 Calculus students: C is a **parametrization** $\cdots X(t) = (\cos t, \sin t)$
- 3 Graduate students: C is an $\mathbb{R}[x, y]$ -**module** $\cdots \mathbb{R}[x, y]/(x^2 + y^2 - 1)$
- 4 Algebraists: **free resolution** of the ideal of C

Each viewpoint provides a different moduli space.

- 1 $H_{\mathbb{P}^2}(d)$: moduli space of subvarieties (Hilbert)
- 2 $K_{\mathbb{P}^2}(d)$: moduli space of maps (Kontsevich)
- 3 $S_{\mathbb{P}^2}(d)$: moduli space of modules (Simpson)
- 4 $B_{\mathbb{P}^2}(d)$: moduli space of objects in a derived category (Bridgeland)

Birational Geometry of Moduli Spaces - Example

- Lines in \mathbb{P}^r :

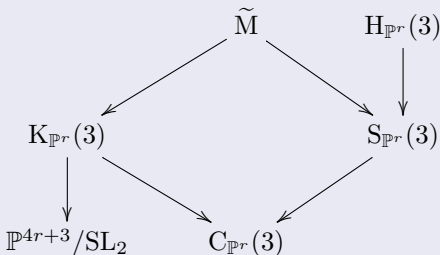
$$K_{\mathbb{P}^r}(1) = H_{\mathbb{P}^r}(1) = S_{\mathbb{P}^r}(1) = \text{Gr}(2, r+1)$$

- Conics in \mathbb{P}^2 :

$$K_{\mathbb{P}^2}(2) \rightarrow H_{\mathbb{P}^2}(2) = S_{\mathbb{P}^2}(2) = \mathbb{P}^5$$

- Cubics in \mathbb{P}^r :

Theorem (Kiem-M, Chung-Kiem)



Birational Geometry of Moduli Spaces - Example

$S_{\mathbb{P}^2}(d)$: moduli space of stable sheaves (\approx modules \approx generalized vector spaces) on \mathbb{P}^2 with Hilbert polynomial $dm + 1$.

- $S_{\mathbb{P}^2}(1) \cong \mathbb{P}^2$
- $S_{\mathbb{P}^2}(2) \cong \mathbb{P}^5$
- $S_{\mathbb{P}^2}(3) \cong$ a hypersurface in $\mathbb{P}^2 \times \mathbb{P}^9$ (Le Potier, 1993)

Theorem (Chung-M)

There is a sequence of maps

$$S_{\mathbb{P}^2}(4) \longleftarrow S_{\mathbb{P}^2}^\epsilon(4) \longrightarrow B_{\mathbb{P}^2}(4) \longrightarrow \mathbb{P}^{18}/\mathrm{SL}_2 \times \mathrm{SL}_3$$

where $S_{\mathbb{P}^2}^\epsilon(4)$ is the moduli space of stable pairs.

The cohomology ring $H^*(S_{\mathbb{P}^2}(4), \mathbb{Q})$ is calculated.

$$\dim H^{2i}(S_{\mathbb{P}^2}(4), \mathbb{Q}) = 1, 2, 6, 10, 14, 15, 16, 16, \dots$$

$$\chi(S_{\mathbb{P}^2}(4)) = 192$$

Related to [Gopakumar-Vafa invariants](#) in mathematical physics.

Mori's Program for Moduli Spaces

Mori's program (generalized minimal model program): a theoretical framework for the classification of all birational models.

- Includes classification of codimension 1 subvarieties, curves, etc.
- **Mori dream space** \Rightarrow the completion of the program is possible.
- Few completed examples.

Theorem (Chung-M, M-Yoo)

For the following moduli spaces, minimal model program is completed.

- $S_{\mathbb{P}^2}(4)$
- $K_{\text{Gr}(2,n)}(2)$
- $M_{\mathbb{P}}(r) \cdots$ moduli space of rank r parabolic bundles over \mathbb{P}^1

(Giansiracusa, Gibney, Jensen, M, Swinarski)

We have worked for the case of moduli spaces $\overline{M}_{g,n}$ of curves.

Part III

Application to Quantum Invariants

Invariant Factor Problem

G : reductive group ($GL_r, SL_r, SO_r, SP_r, \dots$)

$V_{\lambda^1}, V_{\lambda^2}, \dots, V_{\lambda^n}$: finite dimensional irreducible G -representations

$V_{\vec{\lambda}}^G := (V_{\lambda^1} \otimes V_{\lambda^2} \otimes \dots \otimes V_{\lambda^n})^G$: trivial subrepresentation

$V^G := \bigoplus_{\vec{\lambda}} V_{\vec{\lambda}}^G$: algebra of invariants

Question

- 1 $\dim V_{\vec{\lambda}}^G = ?$
- 2 When $V_{\vec{\lambda}}^G \neq 0$?
- 3 Is V^G finitely generated?
- 4 What is a good generating set?

Example ($n = 3, G = GL_r$)

- 1 \Leftrightarrow Computation of Littlewood-Richardson coefficients
- 2 \Leftrightarrow Horn's conjecture

Quantum Invariant

\exists quantum generalizations of invariant factors, constructed via conformal field theory.

| Input | CFT | Output |
|--|---------------|--|
| G : simple Lie group $p_1, p_2, \dots, p_n \in \mathbb{P}^1$ $l \in \mathbb{Z}_{\geq 0}$ $\vec{\lambda} = (\lambda^1, \lambda^2, \dots, \lambda^n)$ | \Rightarrow | $\mathbb{V}_{l, \vec{\lambda}}$ \dots finite dimensional vector space |

$\mathbb{V} := \bigoplus_{l, \vec{\lambda}} \mathbb{V}_{l, \vec{\lambda}} \dots$ algebra of conformal blocks

Question

- 1 $\dim \mathbb{V}_{l, \vec{\lambda}} = ?$
- 2 When $\mathbb{V}_{l, \vec{\lambda}} \neq 0$?
- 3 Is \mathbb{V} finitely generated?
- 4 What is a good generating set?

Geometric Interpretation

$$G = \mathrm{SL}_r.$$

$\mathrm{Fl}(V)$: the full flag variety of $V = \mathbb{C}^r$

By Borel-Weil theorem, $V_\lambda = \Gamma(\mathrm{Fl}(V), L_\lambda)$ for some L_λ .

$$V_{\vec{\lambda}} = \Gamma(\mathrm{Fl}(V)^n, L_{\vec{\lambda}})$$

$$V_{\vec{\lambda}}^G = \Gamma(\mathrm{Fl}(V)^n, L_{\vec{\lambda}})^G = \Gamma(\mathrm{Fl}(V)^n/G, \bar{L}_{\vec{\lambda}})$$

$$V^G = \bigoplus_{\vec{\lambda}} V_{\vec{\lambda}}^G = \bigoplus_L \Gamma(\mathrm{Fl}(V)^n/G, L) = \mathrm{Cox}(\mathrm{Fl}(V)^n/G)$$

... **Cox ring**, the ring of all 'functions' on $\mathrm{Fl}(V)^n/G$.

Theorem (Pauly)

$$\mathbb{V} = \bigoplus_{\ell, \vec{\lambda}} \mathbb{V}_{\ell, \vec{\lambda}} = \mathrm{Cox}(\mathrm{M}_{\mathbf{p}}(r)),$$

where $\mathrm{M}_{\mathbf{p}}(r)$ is the moduli space of rank r , degree 0 parabolic bundles (highly non-Hausdorff algebraic stack).

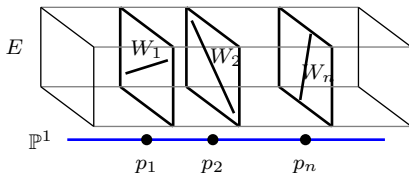
Parabolic Bundles

Fix n distinct points $\mathbf{p} = (p_1, \dots, p_n)$ on \mathbb{P}^1 .

Definition

A rank r **parabolic bundle** on \mathbb{P}^1 with parabolic points \mathbf{p} is a collection of data $(E, \{W_i^\bullet\})$ where

- 1 E is a rank r vector bundle on \mathbb{P}^1 ;
- 2 W_i^\bullet is a full flag of $E|_{p_i}$.



Theorem (M-Yoo)

The algebra \mathbb{V} of conformal blocks is finitely generated.

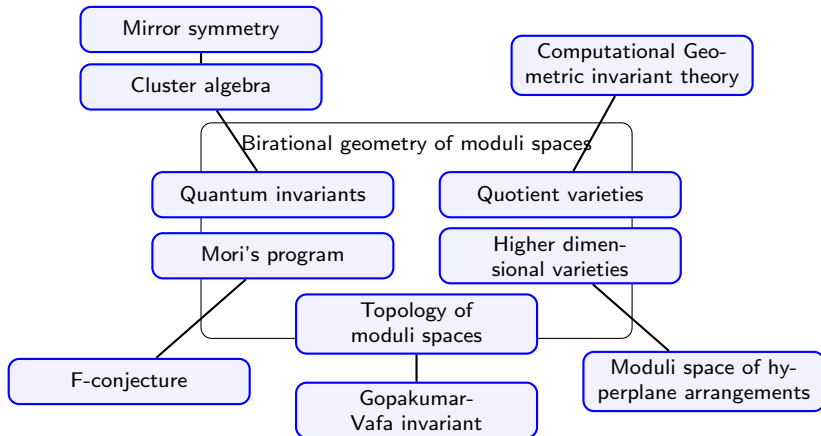
Ingredients of the proof:

- Stability condition
- Geometric invariant theory
- Minimal model program
- log Fano variety
- Deformation theory
- Wall-crossing computation
- ...

Part IV

Research Plan

Research Plan



Problem

Study the structure of \mathbb{V} .

- Theoretical aspects: Relation with **cluster algebra** and **mirror symmetry**
- Computational aspects: Mathematical experiments are possible (a great source of REU projects)

Problem (F-conjecture)

Classify all curves on the moduli space $\overline{\mathcal{M}}_{g,n}$ of curves.

Problem

Study geometry of moduli spaces of higher dimensional varieties with combinatorial structures (hyperplane arrangements, etc).

In many cases, the last stage of a sequence of birational maps is a **quotient space**.

The first step of the computation of algebro-geometric quotient space is a very heavy combinatorial calculation.

Problem

Develop an efficient algorithm to compute quotient spaces and implement it as an open source computer program.

Thank you!